

## A Generalized Coding Theorem in Terms of Useful Information Measures

**M.A.K. Baig<sup>\*</sup> and Arif Habib<sup>\*\*</sup>**

*Department of Statistics, University of Kashmir, Srinagar, India.  
 E-mail: <sup>\*</sup>baigmak@gmail.com, <sup>\*\*</sup>arfstat@gmail.com*

### Abstract

In this paper a generalized ‘useful’ parametric mean length  $L_R(P^v, U)$  has been defined and bounds for  $L_R(P^v, U)$  are obtained in terms of generalized useful R-norm information measure.

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### 1. Introduction

Consider the model  $A$  given below for a finite scheme random experiment having  $(A_1, A_2, \dots, A_n)$  as the complete system of events with respective probabilities  $P = (p_1, p_2, \dots, p_n), p_i \geq 0, \sum_{i=1}^n p_i = 1$  and credited with utilities  $U = (u_1, u_2, \dots, u_n), u_i > 0, i = 1, 2, \dots, n$  Denote

$$A = \begin{bmatrix} A_1 & A_2 & \dots & A_n \\ p_1 & p_2 & \dots & p_n \\ u_1 & u_2 & \dots & u_n \end{bmatrix} \quad (1.1)$$

We call the scheme (1.1) as a finite information scheme. Every finite scheme describes a state of uncertainty. Shannon [6] introduced a quantity which in a

reasonable way, measures the amount of uncertainty (entropy). This measure is given by

$$H(P) = - \sum_{i=1}^n p_i \log p_i \quad (1.2)$$

Can serve as a very suitable measure of entropy of the finite scheme . Through out the paper, logarithms are taken to base  $D$  ( $D \geq 2$ ).

Also, Guiasu and Picard [3] introduced a quantity in terms of utilities which also measure the amount of uncertainty associated with a given finite scheme. This measure is given by

$$H(P, U) = - \frac{\sum_{i=1}^n u_i p_i \log p_i}{\sum_{i=1}^n u_i p_i} \quad (1.3)$$

Let  $X = (x_1, x_2, \dots, x_n)$  be the finite set of input symbols which are to be encoded using alphabet of  $D$  symbols. It has been shown Feinstein [2] that there is a unique decipherable code with lengths  $l_1, l_2, \dots, l_n$  and satisfying

$$\sum_{i=1}^n D^{-l_i} \leq 1 \quad (1.4)$$

where  $D$  is the size of the code alphabet.

Noiseless coding theorem for Shannon's entropy with ordinary code mean length

$$L = \sum_{i=1}^n l_i p_i \quad (1.5)$$

under the condition (1.4), has played an important role in ordinary communication theory, (Shannon [6]).

Khan and Haseen [4], Khan, Autar and Haseen [5], Boekee et al [1] and Singh, Kumar and Tuteja [8] have studied generalized coding theorems by considering different generalized measures of (1.2) and (1.5) under the condition (1.4) of unique decipherability.

In this paper, we study coding theorems by considering a new function depending on the parameters  $R$  and  $\nu$ . Our motivation for studying this new function is that it generalizes some entropy functions already existing in the literature.

## 2. Coding theorems

Consider a function

$$H_R(P^\nu, U) = \frac{R}{R-1} \left[ 1 - \left( \frac{\sum_{i=1}^n u_i p_i^{R+\nu-1}}{\sum_{i=1}^n u_i p_i^\nu} \right)^{\frac{1}{R}} \right] \quad (2.1)$$

for all  $R \in \mathfrak{R}_+ (\neq 1), \nu \neq 1, \sum_{i=1}^n p_i = 1, i = 1, 2, \dots, n$

(i) When  $\nu = 1$ , (2.1) reduces to the useful R-norm information due Singh, Kumar and Tuteja [8].

(ii) When  $\nu = 1, u_i = 1 \forall i = 1, 2, \dots, n$ , (2.1) reduces to the R-norm information measure due to Boekee et al [1].

(iii) When  $R \rightarrow 1, \nu = 1$  and  $u_i = 1 \forall i = 1, 2, \dots, n$ . (2.1) reduces to the measure due to Shannon [6].

Further consider

$$L_R(P^\nu, U) = \frac{R}{R-1} \left[ 1 - \frac{\sum_{i=1}^n u_i p_i^\nu D^{-l_i \left( \frac{R-1}{R} \right)}}{\sum_{i=1}^n u_i p_i^\nu} \right] \quad (2.2)$$

where  $R \in \mathfrak{R}_+, R \neq 1$ .

(i) For  $\nu = 1$ , (2.2) reduces to the mean length due to Singh, Kumar and Tuteja [8].

(ii) For  $\nu = 1, u_i = 1 \forall i = 1, 2, \dots, n$ . (2.2) reduces to the mean length due to Boekee et al [1].

(iii) For  $R \rightarrow 1, \nu = 1, u_i = 1$ , (2.2) reduces to the optimal code length defined by Shannon [6].

We now establish a result, that in a sense, gives a characterization of  $H_R(P^\nu, U)$  under the condition

$$(2.3) \sum_{i=1}^n u_i p_i^{\nu-1} D^{-l_i} \leq \sum_{i=1}^n u_i p_i^\nu$$

**Remark:** For  $\nu = 1, u_i = 1 \forall i = 1, 2, \dots, n$  and  $\sum_{i=1}^n p_i = 1$ , (2.3) is a generalization of (1.4).

**Theorem 1:** For every code whose lengths  $l_1, l_2, \dots, l_n$  satisfies (2.3), the average length satisfies

$$(2.4) \quad L_R(P^\nu, U) \geq H_R(P^\nu, U)$$

equality holds if and only if

$$l_i = -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+\nu-1}}{\sum_{i=1}^n u_i p_i^\nu} \right)} \quad (2.5)$$

**Proof:** we use Holders inequality [7]

$$\sum_{i=1}^n x_i y_i \geq \left[ \sum_{i=1}^n x_i^p \right]^{\frac{1}{p}} \left[ \sum_{i=1}^n y_i^q \right]^{\frac{1}{q}} \quad (2.6)$$

for all  $x_i > 0, y_i > 0, i = 1, 2, \dots, n, p < 1 (\neq 0)$  and  $p^{-1} + q^{-1} = 1$

with equality if and only if there exists a positive number  $c$  such that

$$x_i^p = c y_i^q \quad (2.7)$$

Setting

$$x_i = u_i p_i^{\frac{\nu R}{1-R}} D^{-l_i}$$

$$y_i = u_i p_i^{\frac{R+\nu-1}{1-R}}$$

$P = \frac{R-1}{R}$  and  $q = 1-R$  in (2.6) and using (2.3), Also if  $R > 1$  we get

$$\left[ \sum_{i=1}^n u_i p_i^\nu D^{-l_i \left( \frac{R-1}{R} \right)} \right]^{\frac{R}{1-R}} \geq \frac{\left[ \sum_{i=1}^n u_i p_i^{R+\nu-1} \right]^{\frac{1}{1-R}}}{\sum_{i=1}^n u_i p_i^\nu} \quad (2.8)$$

Dividing both sides of (2.8) by  $\left( \sum_{i=1}^n u_i p_i^\nu \right)^{\frac{R}{1-R}}$ , we get

$$\left[ \frac{\sum_{i=1}^n u_i p_i^v D^{-l_i \left(\frac{R-1}{R}\right)}}{\sum_{i=1}^n u_i p_i^v} \right]^{\frac{R}{1-R}} \geq \left[ \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right]^{\frac{1}{1-R}}$$

Raising both sides to the power  $\frac{1-R}{R}$ ,  $R \neq 1$  also  $\frac{R}{R-1} > 0$  for  $R > 1$  and after suitable operations, we obtain the result (2.4). For  $0 < R < 1$ , the inequality (2.4) can be proved in a similar fashion.

**Theorem 2:** For every code with lengths  $l_1, l_2, \dots, l_n$  satisfies (2.3).  $L_R(P^v, U)$  can be made to satisfy the inequality

$$L_R(P^v, U) < H_R(P^v, U) D^{\frac{1-R}{R}} + \frac{R}{R-1} \left( 1 - D^{\frac{1-R}{R}} \right) \tag{2.9}$$

**Proof:** Let  $l_i$  be the positive integer satisfying the inequality

$$-\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} \leq l_i < -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} + 1 \tag{2.10}$$

Consider the interval

$$\delta_i = \left[ -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)}, -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} + 1 \right] \tag{2.11}$$

of length 1. In every  $\delta_i$ , there lies exactly one positive number  $l_i$  such that

$$0 < -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} \leq l_i < -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} + 1 \quad (2.12)$$

We will first show that sequence  $\{l_1, l_2, \dots, l_n\}$ , thus defined satisfies (2.3), from (2.12) we have

$$\begin{aligned} -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} &\leq l_i \\ -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} &\leq -\log_D D^{-l_i} \\ \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} &\geq D^{-l_i} \end{aligned} \quad (2.13)$$

Multiplying both sides by  $\sum_{i=1}^n u_i p_i^{v-1}$  and summing over  $i = 1, 2, \dots, n$ , we get (2.3).

The last inequality in (2.12) gives

$$l_i < -\log \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} + 1$$

$$l_i < -\log \left( \frac{u_i p_i^R}{\frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v}} \right) + \log_D D$$

i.e.,

$$D^{-l_i} < \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} D^{-1}$$

$$\text{or } D^{-l_i \left( \frac{R-1}{R} \right)} < \left( \frac{u_i p_i^R}{\left( \frac{\sum_{i=1}^n u_i p_i^{R+v-1}}{\sum_{i=1}^n u_i p_i^v} \right)} \right)^{\frac{R-1}{R}} D^{\frac{1-R}{R}}$$

Multiplying both sides by  $\frac{u_i p_i^v}{\sum_{i=1}^n u_i p_i^v}$  and summing over  $i = 1, 2, \dots, n$  and

simplifying, gives (2.9). For  $0 < R < 1$ , the proof of the upper bound of  $L_R(P^v, U)$  follows along the similar lines.

As  $D \geq 2$ , we have  $\frac{R}{R-1} \left[ 1 - D^{\frac{(1-R)}{R}} \right] > 1$  from which it follows that the upper

bound of  $L_R(P^v, U)$  in (2.9) is greater than unity.

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