

## Three-Space Stability of Various Reflexivities in Locally Convex Spaces

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### Abstract

In this paper, we consider three-space-problem in locally convex spaces for various types of “property (P)”, like- polar semi-reflexivity, polar reflexivity, semi-reflexivity, reflexivity, inductive semi-reflexivity, inductive reflexivity, B-semireflexivity, B-reflexivity. Our purpose is to harmonize several known positive solutions to three-space-problem and to investigate for some new results.

**Keywords:** Three-space-problem, polar reflexive, reflexive, Inductively reflexive, B-reflexive, bornological.

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### 1. Introduction and Preliminaries

Let  $E[\tau]$  be a locally convex space and  $F$  a (closed) subspace such that  $F$  and the corresponding quotient  $E/F$  possess a certain property  $P$ ; does  $E$  also possess  $P$ ? This so called problem is called a **three-space-problem**. If the answer to such a question is positive (affirmative), then we say that the property  $P$  is three-space-stable property or simply three-space property.

This problem can be put in terms of a short-exact sequence as follows:

Let  $E[\tau]$  be a locally convex space and  $F$  is a (closed) subspace of  $E$ .

If a short exact sequence

$$0 \rightarrow F \xrightarrow{i} E \xrightarrow{q} E/F \rightarrow 0 \quad (1)$$

of locally convex space  $E$ , a subspace  $F$  of  $E$  and the corresponding quotient  $E/F$ , is given in which the outer terms  $F$  and  $E/F$  possess certain property (P), whether the middle term, space  $E$  possess the property (P)?.

Throughout this paper,  $E[\tau]$  will represent a locally convex Hausdorff topological vector space (abbreviated as locally convex space) over  $K$  (real or complex field). Its dual is denoted as  $E'$ . The strong dual of  $E[\tau]$  is  $E'[\tau_b(E)]$  and the bidual of  $E[\tau]$  is  $E'' = (E'[\tau_b(E)])'$ . If  $E'' = E$ , then  $E[\tau]$  is called semi-reflexive. A semi-reflexive locally convex space  $E[\tau]$  is called reflexive provided  $\tau = \tau_b(E')$ . Following G. Köthe [1], let  $\tau^0$  be the topology on  $E'$  of uniform convergence on  $\tau$ -precompact subsets of  $E$  and  $\tau^{00}$  be the topology on  $(E'[\tau^0])'$  of uniform convergence on  $\tau^0$ -precompact subsets of  $E'$ . If  $(E'[\tau^0])' = E$ , then  $E[\tau]$  is called polar semi-reflexive, and polar reflexive if further  $\tau = \tau^{00}$ . The so called  $p$ -complete spaces and  $p$ -reflexive spaces of Brauner [2] are nothing but polar semi-reflexive and polar reflexive, respectively (see [3]). We also note that polar reflexivity is the  $t$ -reflexivity of Kye [4].

The strongest locally convex topology on  $E'$  for which all  $\tau$ -equicontinuous subsets are bounded is denoted by  $\tau^*$ , called inductive topology. The absolutely convex subsets of  $E'$  that absorbs all  $\tau$ -equicontinuous subsets of  $E'$  form a basis of neighborhoods of 0 in  $E'[\tau^*]$ . According to [5], if  $(E'[\tau^*])'$  coincides with  $E$ , then  $E[\tau]$  is called inductively semi-reflexive. Moreover, if  $\tau = \tau^{**}$  i.e.  $(\tau^*)^*$ , then  $E[\tau]$  is called inductively reflexive.

Let  $\tau_r$  be the topology, called reflective topology, on  $E$  of uniform convergence over the smallest saturated class of sets generated by  $\mathcal{R}$ , where  $\mathcal{R}$  is the class of all the absolutely convex bounded subset  $B$  of the dual  $E'$  whose span space  $E'_B$  is a reflexive Banach space with  $B$  as unit ball; such sets  $B$  are called reflective sets. A locally convex space  $E[\tau]$  is said to be  $B$ -semireflexive if it is barreled and  $E = \tilde{E}[\tilde{\tau}_r]$  (completion of  $E[\tau_r]$ ). Further, if  $\tau = \tau_r$ , then  $E[\tau]$  is called  $B$ -reflexive [6].

## 2. Three-Space Stability of Various Reflexivities

Let  $E$  be a Banach space,  $E'$  and  $E''$  its first and second dual spaces. let  $Q$  denote the canonical embedding of  $E$  in to  $E''$ . Banach space  $E$  is called reflexive if the canonical embedding  $Q$  is an onto mapping. If  $\dim(E''/Q(E)) = n$  (finite), then we say

that  $E$  is quasi-reflexive of order  $n$ . If  $E''/Q(E)$  is reflexive, then  $E$  is called coreflexive. The so called quotient reflexive spaces of Yorke [7] are nothing but coreflexive spaces.

In [8], James R. Clark, proved that coreflexivity in Banach spaces is three-space stable:

**2.1. Theorem** ([8]): If  $F$  is a closed subspace of a Banach space  $E$ , then  $E$  is coreflexive if and only if  $F$  and  $E/F$  are coreflexive.

P. Civin and B. Yood [9] discussed three-space-problem on quasi-reflexivity in Banach spaces and proved the following:

**2.2. Theorem** ([9]): If  $F$  is a closed subspace of a Banach space  $E$ , then  $E$  is quasi-reflexive if and only if  $F$  and  $E/F$  are quasi-reflexive.

We know that if  $E[\tau]$  is a reflexive Banach space, then its closed subspace  $F$  and separated quotient  $E/F$  are both reflexive [1]. In the next theorem of Kung-Wei Yang, in [10], in which he discussed three-space stability of reflexivity in Banach spaces, we see that the converse of this result is also true.

**2.3 Theorem** ([10]): If  $E$  is a Banach space and  $F$  is a closed subspace of  $E$ , and if both  $F$  and  $E/F$  are reflexive then  $E$  is reflexive.

From these theorems it is obtained that in Banach spaces, each of coreflexivity, quasi-reflexivity and reflexivity is a three-space stable property.

Let  $E[\tau]$  be a locally convex space. If  $\tau_c$  (resp.  $\tau_{b^*}$ ) is the topology on  $E'$  of uniform convergence on the class of compact disks (resp. strongly bounded subsets) of  $E[\tau]$ , and if  $\tau_{cc}$  (resp.  $\tau_{b^{**}}$ ) is the topology on  $(E'[\tau_c])'$  ( resp.  $(E'[\tau_{b^*})'$  ) of uniform convergence on the class of  $\tau_c$ -compact disks in  $E'[\tau_c]$  ( resp. strongly bounded subset in  $E'[\tau_{b^*}]$  ), then  $E[\tau]$  is called  $c$ -semi-reflexive if  $E = (E'[\tau_c])'$  and further, if  $\tau = \tau_{cc}$ ,  $E[\tau]$  is called  $c$ -reflexive. It is clear that  $E = (E'[\tau_c])'$  always holds, since (by definition)  $\tau_c$  is coarser than the Mackey topology. So  $E[\tau]$  is always  $c$ -semi-reflexive. A locally convex space  $E[\tau]$  is called  $b^*$ -semireflexive if  $(E'[\tau_{b^*}])' = E$ . Further, if  $\tau = \tau_{b^{**}}$ , it is called  $b^*$ -reflexive.

Following theorem on three-space stability of  $c$ -reflexive spaces is due to [11]:

**2.4. Theorem B** ([11]): Let  $F$  be a closed subspace of a locally convex space  $E[\tau]$  such that  $F$  and  $E/F$  are  $c$ -reflexive, then  $E[\tau]$  is  $c$ -reflexive, provided the quotient map from  $E$  to  $E/F$  lifts compact disks.

We also have: In a locally convex space,  $b^*$ -semireflexivity and  $b^*$ -reflexivity are not three-space stable ([12], Theorem-11).

It is to be mentioned here, that polar semi-reflexivity is not three-space stable ([12], Theorem-9).

In locally convex spaces, completeness is a three-space stable property. That is, if  $E[\tau]$  is a locally convex space and  $F$  is a closed subspace of  $E$  such that  $F$  and  $E/F$  are complete, then  $E[\tau]$  is complete (see [13]).

W. Roelcke and S. Dierolf [14] established some results on three-space-problem. They investigated that barreledness and ultra barreledness are three-space-stable properties. Further, if  $F$  is a subspace of a locally convex space  $E[\tau]$  such that  $F$  is quasi-barreled and  $E/F$  is barreled, then  $E$  is quasi-barreled (Theorem 2.6). Regarding semi-reflexivity property, we have:

**2.5. Theorem** ([14]): Let  $E[\tau]$  be a locally convex space and  $F$  a closed linear subspace such that the strong topology  $\tau_b(F)$  on  $E'/F^\perp =$  the quotient topology on  $E'/F^\perp$  induced from  $\tau_b(E)$ . That is  $\tau_b(F, E'/F^\perp) = (\tau_b(E))_q F^\perp$ . If  $F$  and the quotient  $E/F$  are semi-reflexive, then  $E[\tau]$  is semi-reflexive.

Proof: Assume that  $\tau_b(F, E'/F^\perp) = (\tau_b(E))_q F^\perp$  and the subspace  $F$  and the quotient  $E/F$  both are semi-reflexive. Let  $f \in (E'[\tau_b(E)])'$ . Since  $\tau_b(E/F, F^\perp)$  on  $F^\perp$  is finer than the relative topology from  $\tau_b(E)$ , so the restriction of  $f$  on  $F^\perp$  is continuous on  $F^\perp[\tau_b(E/F, F^\perp)]$ . We note that  $(E/F)' = F^\perp$  and is given semi-reflexive, so we have  $x \in E$  such that  $f(u) = u(x)$  for all  $u \in F^\perp$ . Now  $G: (E'[\tau_b(E)]) \rightarrow K$ ,  $G(u) = f(u)$  is a continuous linear functional which vanishes on  $F^\perp$ . Thus  $G$  induces a continuous linear functional  $G^\sim$  on  $E'/F^\perp [(\tau_b(E))_q F^\perp]$ . From hypothesis,  $\tau_b(F, E'/F^\perp) = (\tau_b(E))_q F^\perp$ , so by identifying  $F' = E'/F^\perp$  and using the semi-reflexivity (given) of  $F$ , we must have  $y \in F$  such that  $G(u) = G^\sim(u + F^\perp) = u(y)$  for all  $u \in E'$ . It follows that  $f(u) = u(x + y)$  for all  $u \in E'$ . Hence  $f \in E$ . Thus  $E'' = E$  and  $E[\tau]$  is semi-reflexive.

For a general locally convex space, semi-reflexivity is not three-space stable (see [14], Example-1.5)

Now we have the following result on three-space stability of semi-reflexivity:

**2.6. Theorem** ([14]): If  $E[\tau]$  is a locally convex space and  $F$  is a closed subspace of  $E$  which is an  $(F)$ -space. If  $F$  and  $E/F$  are semi-reflexive, then  $E[\tau]$  is semi-reflexive.

Proof: Assume that  $F$  and  $E/F$  are semi-reflexive. Since  $F$  is an  $(F)$ -space, so it is countably quasi-barreled and its strong dual is bornological. Therefore, by [14], lemma-4.1,  $\tau_b(F, E'/F^\perp) = (\tau_b(E))_q F^\perp$  holds. By theorem-2.5, the proof is complete.

We know that inductively semi-reflexive space is semi-reflexive. Further, if  $E[\tau]$  is an (F)-space, then it is inductively semi-reflexive if and only if it is semi-reflexive (see [5]).

Now we assert the followong:

**2.7. Theorem:** If  $F$  is a closed subspace of an (F)-space  $E[\tau]$  such that  $F$  is also (F)-space, and if  $F$  and  $E/F$  are inductively semi reflexive, then  $E[\tau]$  is inductively semi reflexive.

Proof: Assume that  $F$  and  $E/F$  are inductively semi reflexive. Since inductively semi-reflexive space is semi-reflexive,  $F$  and  $E/F$  are semi reflexive. Further, subspace  $F$  is an (F)-space, so theorem-2.6 is applicable and so  $E[\tau]$  is semi-reflexive. Since semi-reflexive (F)-space is reflexive and a reflexive (F)-space is inductively reflexive,  $E[\tau]$  is inductively reflexive (and inductively semi-reflexive).

**2.8. Corollary :** If  $F$  is a closed subspace of an (F)-space  $E[\tau]$  such that  $F$  is also an (F)-spaces, and if  $F$  and  $E/F$  are inductively reflexive, then  $E[\tau]$  is inductively reflexive.

These results on three-space stability of inductive (semi) reflexivity are restricted to the case where the subspace  $F$  is an (F)-space. However we have a generalization in the following:

**2.9. Theorem** ([16]): If  $F$  is a closed subspace of a locally convex space  $E[\tau]$ , and if  $E$  and  $E/F$  are inductively semi-reflexive, then  $E[\tau]$  is inductively semi-reflexive.

Proof: Consider the short exact sequence

$$0 \rightarrow F \xrightarrow{i} E \xrightarrow{q} E/F \rightarrow 0 \tag{1}$$

Let us consider the inductive topology  $\tau^*$  on  $E'$ . Note that  $F' = E'/F^\perp$  and  $(E/F)' = F^\perp$ .

Let  $\hat{\tau}$ = relative topology on  $F$  induced from  $E[\tau]$ ,  $\tau_q$  = quotient topology on  $E/F$ ,  $\hat{\tau}^*$  = the inductive topology on  $F'$  (the dual of  $F[\hat{\tau}]$ ), and  $\tau_q^*$  = the inductive topology on  $(E/F)'$  (the dual of  $E/F[\tau_q]$ ). Now we prove some lemmas.

**Lemma-1:** If  $F$  is a closed subspace of a locally convex space  $E[\tau]$ , then  $\hat{\tau}^*$  is equal to the quotient topology  $(\tau^*)_{q(E'/F^\perp)}$  on  $E'/F^\perp$  from  $E'[\tau^*]$ . i.e.  $\hat{\tau}^* = (\tau^*)_{q(E'/F^\perp)}$ .

Proof: We prove  $\hat{\tau}^* \geq (\tau^*)_{q(E'/F^\perp)}$ . Since  $\hat{\tau}^*$  is the strongest locally convex topology on  $F'$  such that all  $\hat{\tau}$ -equicontinuous set in  $F'$  are bounded. Therefore, it is sufficient to prove that all  $\hat{\tau}$ - equicontinuous set in  $F'$  are  $(\tau^*)_{q(E'/F^\perp)}$ -bounded. let

$A \subset F'$  be a  $\hat{\tau}$ -equicontinuous subset. That is  $A = i'(B)$ , where  $B \subset E'$  is  $\tau$ -equicontinuous. Since  $B$  is  $\tau^*$ -bounded,  $A$  is  $(\tau^*)_{q(E'/F^\perp)}$ -bounded. So  $\hat{\tau}^* \geq (\tau^*)_{q(E'/F^\perp)}$ .

We prove  $\hat{\tau}^* \leq (\tau^*)_{q(E'/F^\perp)}$ . Let  $W$  be a  $\hat{\tau}^*$ -neighborhood of 0. Then  $W$  absorbs all  $\hat{\tau}$ -equicontinuous subset of  $F'$  and so  $(i')^{-1}(W)$  is a  $\tau^*$ -neighborhood of 0. So  $W$  is a  $(\tau^*)_{q(E'/F^\perp)}$ -neighborhood of 0. Hence  $\hat{\tau}^* \leq (\tau^*)_{q(E'/F^\perp)}$ .

**Lemma-2:** If  $F$  is a closed subspace of a locally convex space  $E[\tau]$ , then the relative topology  $(\tau^*)^\wedge$  on  $F^\perp$  induced from  $E'[\tau^*]$  is coarser than  $\tau_q^*$  i.e.  $(\tau^*)^\wedge \leq \tau_q^*$ .

Proof: Let  $V$  be a  $(\tau^*)^\wedge$ -neighborhood of 0. By definition of induced topology, we have a  $\tau^*$ -neighborhood of 0, say  $U$  such that  $U \cap F^\perp \subset V$ . So  $U \cap F^\perp$  absorbs all  $\hat{\tau}$ -equicontinuous subset of  $F^\perp$  and so it is a  $\tau_q^*$ -neighborhood of 0. Hence  $(\tau^*)^\wedge \leq \tau_q^*$ .

**Lemma-3 :** Following relations among topologies on the space  $E'/F^\perp$  hold:

$$\tau_k(F, E'/F^\perp) = \tau_b(F, E'/F^\perp) = \hat{\tau}^* = (\tau^*)_{q(E'/F^\perp)} \geq (\tau_b(E))_q \geq \tau_b(F).$$

Proof: Since  $F$  is inductively semi-reflexive,  $(F'(\hat{\tau}^*))' = F$ . So  $\hat{\tau}^*$  is compatible to the dual pair  $(F', F)$ . Hence  $\tau_k(F, E'/F^\perp) = \tau_b(F, E'/F^\perp) = \hat{\tau}^*$ . On the other hand,  $\hat{\tau}^* = (\tau^*)_{q(E'/F^\perp)}$  by lemma-1, and  $(\tau^*)_{q(E'/F^\perp)} \geq (\tau_b(E))_q$  by the fact that  $\tau_b(E)$  is always coarser than  $\tau^*$ , and  $(\tau_b(E))_q \geq \tau_b(F)$  by [1], §22,2(4)-line 8 in its proof.

**Lemma-4 :** In the subspace  $F^\perp$  we have:

$$\tau_k(E/F, F^\perp) = \tau_b(E/F, F^\perp) = \tau_q^* \geq (\tau^*)^\wedge \geq (\tau_s(E))^\wedge = \tau_s(E/F).$$

Proof:  $E/F$  is inductively semi reflexive i. e.  $((E/F)'[\tau_q^*])' = E/F$  and so  $\tau_k(E/F, F^\perp) = \tau_b(E/F, F^\perp) = \tau_q^*$ . By lemma-2,  $\tau_q^* \geq (\tau^*)^\wedge$ . By [1], §22,2(1),  $(\tau_s(E))_q = \tau_s(E/F)$ , and  $(\tau^*)^\wedge \geq (\tau_s(E))$  is obvious.

Now we continue the proof of the theorem.

From lemma 3 and 4 we see that the sequence

$$0 \rightarrow (F^\perp[(\tau^*)^\wedge]) \xrightarrow{q'} (E'[\tau^*]) \xrightarrow{i'} (F'[\hat{\tau}^* = (\tau^*)_{q(E'/F^\perp)}]) \rightarrow 0 \quad (2).$$

is exact, algebraically and topologically.

Let  $E_1''$  be the dual of  $E'[\tau^*]$  i.e.  $E_1'' = (E'[\tau^*])'$ . Let  $x'' \in E_1''$ . The linear functional  $x''$  is  $\tau^*$ -continuous on  $E'$ , its restriction  $x''|_{F^\perp}$  to the subspace  $F^\perp = (E/F)'$  is  $(\tau^*)^\wedge$ -continuous and so it is in the dual of  $(E/F)[\tau_q^*]$ . But  $E/F$  is inductively semi-reflexive, so  $((E/F)[\tau_q^*])' = E/F$ . Hence  $x''|_{F^\perp} \in E/F$ . So there exists  $x_1 \in E$  such that  $x''(u) = u(x_1)$  for all  $u \in F^\perp$ . Therefore,  $x'' - x_1$  is a continuous linear functional on

$E'[\tau^*]$  which vanishes on  $F^\perp$ . In particular, for any neighborhood  $U$  of  $0$  in  $E'[\tau^*]$ ,  $x'' - x_1 \in U^\circ$ . This means that  $x'' - x_1$  is bounded linear functional on  $U + F^\perp$ . By lemma-1,  $x'' - x_1$  is bounded on any neighborhood of  $0$  in  $F'[\tau^*]$ . So there exists  $x_2 \in F$  such that

$(x'' - x_1)(x') = x'(x_2)$  for all  $x' \in F'$ . It implies that  $x'' = x_1 + x_2 \in E + F \subset E$ . So  $E_1'' = E$ , that is,  $(E'[\tau^*])' = E$ . Hence  $E[\tau]$  is inductively semi-reflexive.

Recall that a locally convex space  $E[\tau]$  is called reinforced regular if every  $\tau_s(E', (E'[\tau^*])')$ -bounded set in the second dual  $(E'[\tau^*])'$  is contained in  $\tau_s(E', (E'[\tau^*])')$ -closure of some bounded set in  $E[\tau]$ . Now we have the following, which is due to [16]:

**Lemma-5:** If the quotient map  $q: E \rightarrow E/F$  lifts bounded sets with closure and if closed subspace  $F$  and the corresponding quotient  $E/F$  are reinforced regular, then  $E$  is reinforced regular.

Now we have:

**2.10. Theorem**([16]): If  $F$  is a closed subspace of a locally convex space  $E[\tau]$  is such that both  $F$  and  $E/F$  are inductively reflexive, then  $E[\tau]$  is inductively reflexive.

Proof: Given that the subspace  $F$  and the quotient  $E/F$  are inductively reflexive. Note that inductively reflexive space is always inductively semi-reflexive (by definition) and also B-semireflexive ([17]). We also note that B-semireflexive space is a complete reflexive space ([6]). So  $F$  and  $E/F$  are inductively semi-reflexive as well as barreled and complete. Now, firstly, by theorem- 2.9, the locally convex space  $E[\tau]$  is inductively semi-reflexive. Secondly, three- space stability of barreledness (cf [14]) implies that  $E[\tau]$  is barreled. Thirdly, three-space stability of completeness implies that  $E[\tau]$  is complete. Now we prove that  $E[\tau]$  is bornological (same as ultrabornological, since  $E[\tau]$  is complete). We note that each topology  $\xi$  on the dual  $E'$  of  $E[\tau]$  satisfying  $\tau_c(E) \leq \xi \leq \tau_k(E)$  gives in  $E$  the same inductive topology  $\xi^*$  and this topology is not weaker than  $\tau$ . Hence  $E[\tau]$  is ultrabornological if and only if  $\tau = \xi^*$ .

Consider the sequences

$$0 \rightarrow F \xrightarrow{i} E \xrightarrow{q} E/F \rightarrow 0 \tag{1}$$

$$0 \rightarrow (F^\perp[\tau_c(E)|F^\perp]) \xrightarrow{q'} (E'[\tau_c(E)]) \xrightarrow{i'} (F'[(\tau_c(E))_q]) \rightarrow 0 \tag{2}$$

The outer terms in (1) are inductively semi-reflexive and so reinforced regular. By the property of lifting of bounded sets by transposed mapping  $i'$  and by lemma-5, the middle term  $E$  is reinforced regular. Hence  $(\tau_c(E))^* = \tau_b(E') = \tau$  (see [5], proposition

3.2). Hence  $E[\tau]$  is bornological. Thus  $E[\tau]$  is inductively semi-reflexive and bornological and therefore, by [5], theorem 1.7,  $E[\tau]$  is inductively reflexive.

We investigate three-space stability of reflexivity in the following:

**2.11. Theorem:** Let  $E[\tau]$  be an (F)-space and  $F$  a closed subspace of  $E[\tau]$  such that  $F$  is also an (F)-space. If  $F$  and  $E/F$  are reflexive, then  $E[\tau]$  is reflexive.

Proof: Assume that  $F$  and  $E/F$  are reflexive and so semi-reflexive. Since  $F$  as well as  $E$  is an (F)-space, theorem-2.6 is applicable. Therefore  $E[\tau]$  is semi-reflexive. But an (F)-space is reflexive if and only if it is semi-reflexive (see [1]), so  $E[\tau]$  is reflexive. Recall that if  $E[\tau]$  is B-semireflexive, then it is a complete reflexive space. ([6], theorem-12).

We, further, investigate three-space stability of B-semireflexivity and we have:

**2.12. Theorem:** Let  $E[\tau]$  be an (F)-space and  $F$  a closed subspace of  $E[\tau]$  such that  $F$  is also an (F)-space. If  $F$  and  $E/F$  are B-semireflexive, then  $E[\tau]$  is B-semireflexive.

Proof: If  $F$  and  $E/F$  are B-semireflexive, then both  $F$  and  $E/F$  are reflexive and so by theorem-2.11,  $E[\tau]$  is reflexive. Since  $E[\tau]$  is an (F)-space, and a metrizable locally convex space is semi-reflexive if and only if it is B-semireflexive (see [17], theorem-2.5), hence  $E[\tau]$  is B-semireflexive.

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